



## **Pentegra Announces Promotions of Lars Ernst to Assistant Vice President, Regulatory Compliance and Thomas Leder to Assistant Vice President, Consulting Services**

**White Plains, NY, January 12, 2017** — Today Pentegra announced the promotions of Lars Ernst to Assistant Vice President, Regulatory Compliance and Thomas Leder to Assistant Vice President, Consulting Services.

Mr. Ernst joined Pentegra in April of 2015 in the newly created position of Director of Regulatory Compliance. He is charged with ensuring that Pentegra and its subsidiaries remain in compliance with all federal and state regulatory requirements. He serves as the Chief Compliance Officer of Pentegra's broker dealer and registered investment advisor, Chief Privacy Officer and Anti-Money Laundering Compliance Officer. He also works to ensure the implementation of best practices and that the organization continues to operate at the highest ethical and corporate governance standards.

With more than 10 years of experience in the financial services industry, Mr. Ernst's expertise spans knowledge of middle/back-office operations and compliance along with front-office institutional bond sales. Prior to joining Pentegra, he served as Head of Operations/Compliance for the sales and trading arm of the investment bank Fieldstone Private Capital Group. He has also held positions at UBS and SS&C GlobeOp. He holds a Bachelor of Arts degree from Columbia University along with FINRA Series 7, 24, 63, and 99 licenses.

Mr. Leder joined Pentegra in 2006 as a Senior Consultant where he has served our clients as a high level relationship manager, providing consulting services to Pentegra's clients nationwide. As a senior member of our Client Services group, he will lead a team of Consultants in working with clients to develop effective plan design and benefit compensation strategies, manage plan level modifications and build employee awareness and appreciation for retirement programs.

An accomplished professional with more than 18 years of industry expertise, he brings a wealth of experience to his new role. Prior to joining Pentegra, he served as Retirement Services District Manager at ADP Retirement Services. He has also worked for a number of financial service organizations, including Alliance Capital Management and American Express Financial Advisors. He is a graduate of Colgate University, where he earned a Bachelor of Arts degree in Economics. He also holds his FINRA Series 6 and 63 licenses.

Said Pentegra President and CEO John Pinto, "Both Lars and Tom are integral to the success of our organization and are highly valued members of our team. Our organization continues to

benefit from their knowledge, experience and dedication. I am very pleased that Pentegra has been able to contribute to and benefit from their professional development and success."

### **About Pentegra**

Pentegra is a leading provider of retirement plan and fiduciary outsourcing solutions to organizations nationwide. Founded by the Federal Home Loan Bank System in 1943, Pentegra offers a broad array of qualified and non-qualified retirement plan solutions, TPA services and benefits financing solutions using BOLI. In addition, Pentegra, through Pentegra Investors, Inc., also serves the needs of institutional investors, offering partial or complete investment outsourcing capabilities. For more information, go to [www.pentegra.com](http://www.pentegra.com).

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